

03500.010106.5



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                                |   |                            |
|--------------------------------|---|----------------------------|
| In re Application of:          | ) |                            |
|                                | : | Examiner: Not Yet Assigned |
| TOSHIKAZU OHNISHI ET AL.       | ) |                            |
|                                | : | Group Art Unit: N.Y.A.     |
| Application No.: 10/615,995    | ) |                            |
|                                | : |                            |
| Filed: July 10, 2003           | ) |                            |
|                                | : |                            |
| For: ELECTRON-EMITTING DEVICE, | ) |                            |
| ELECTRON SOURCE, AND IMAGE-    | : |                            |
| FORMING APPARATUS              | ) |                            |
| (AS AMENDED)                   | : | October 8, 2003            |

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed, and those documents are of record in parent Application No. 09/332,101.

For the concise explanation of relevance for non-English document CN 1069826A, the Examiner is respectfully referred to the English-language Abstract and English translation attached thereto. Also, U.S. Patent 5,141,460, the English-language

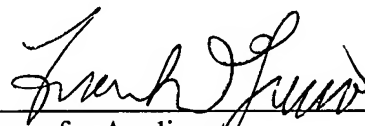
counterpart to CN 1069826A, has already been submitted to the Examiner in a previous Information Disclosure Statement filed July 10, 2003 and is not listed on the PTO-1449.

Also, for the concise explanation of relevance for non-English document CN 1069828A, the Examiner is respectfully referred to the English-language Abstract and English translation attached thereto, as well as English-language counterpart EP 0 513 777 A2.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

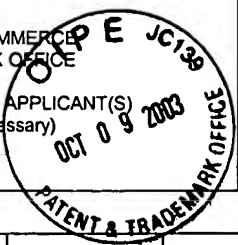


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NY\_MAIN # 380569v1

|   |                    |          |  |                 |                                      |                                       |
|---|--------------------|----------|--|-----------------|--------------------------------------|---------------------------------------|
| FORM PTO 1449 (modified)<br><br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br>LIST OF REFERENCES CITED BY APPLICANT(S)<br>(Use several sheets if necessary) |                    |          | ATTY DOCKET NO.<br><b>03500.010106.5</b>     |                 | APPLICATION NO.<br><b>10/615,995</b> |                                       |
|    |                    |          | APPLICANT<br><b>Toshikazu Ohnishi et al.</b> |                 |                                      |                                       |
|   |                    |          | FILING DATE<br><b>July 10, 2003</b>          |                 | GROUP<br><b>NYA</b>                  |                                       |
| U.S. PATENT DOCUMENTS   |                    |          |  |                 |                                      |                                       |
| *EXAMINER<br>INITIAL  | DOCUMENT<br>NUMBER | DATE     | NAME   | CLASS           | SUBCLASS                             | FILING DATE<br>IF APPROPRIATE         |
|   | 6,147,449          | 11/14/00 | Iwasaki et al.                               | 313             | 495                                  |                                       |
|   | 6,171,162 B1       | 01/09/01 | Iwasaki et al.                               | 445             | 6                                    |                                       |
|   | 6,169,356 B1       | 01/02/01 | Ohnishi et al.                               | 313             | 495                                  |                                       |
|   | 6,184,610 B1       | 02/06/01 | Shibata et al.                               | 313             | 309                                  |                                       |
|   | 6,246,168 B1       | 06/12/01 | Kishi et al.                                 | 313             | 495                                  |                                       |
|   | 6,384,541 B1       | 05/07/02 | Ohnishi et al.                               | 315             | 169.3                                |                                       |
|   |                    |          |  |                 |                                      |                                       |
|   |                    |          |  |                 |                                      |                                       |
| FOREIGN PATENT DOCUMENTS  |                    |          |  |                 |                                      |                                       |
|   | DOCUMENT<br>NUMBER | DATE     | COUNTRY                                      | CLASS           | SUBCLASS                             | TRANSLATION<br>YES/NO/<br>OR ABSTRACT |
|   | 1069826 A          | 3/10/93  | China  | H01J            | 1/30                                 | Abst. & Trans.                        |
|   | 1069828 A          | 3/10/93  | China  | H01J            | 19/42                                | Abst., Trans. &<br>EP 0 513 777       |
|   | 1-031332           | 02/01/89 | Japan  | H01J            | 29/48                                | Abst.                                 |
|   | 0 513 777 A2       | 11/19/92 | EPO  | H01J            | 1/30                                 | English                               |
|   |                    |          |  |                 |                                      |                                       |
| OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)  |                    |          |  |                 |                                      |                                       |
|   |                    |          |  |                 |                                      |                                       |
|   |                    |          |  |                 |                                      |                                       |
|   |                    |          |  |                 |                                      |                                       |
| EXAMINER  |                    |          |  | DATE CONSIDERED |                                      |                                       |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1